

**Minutes
University Research Council
April 29, 2016, Friday (Virtual Meeting)**

Meeting Called By: Dr. Dianne Barron, Associate Provost and Dean, Graduate School

Attendees: Dr. Fred Figliano, Dr. Frank Hammonds, Dr. Henry M. Findley, Dr. Shari Hoppin, Dr. Margaret R. Jackson, Dr. Jeff Spurlock, Dr. Haksoon Kim, Dr. Julian Pittman, Dr. Terry Anderson, Dr. Diane Weed, Dr. John Miller, Dr. Hal Fulmer, Dr. Jonathan Taylor, Dr. Christina Martin, Dr. Shellye Vardaman, Dr. Dionne Rosser-Mims, Dr. Kathy Hildebrand, Dr. Larry Blocher, Dr. Mark Tillman, Dr. Judson Edwards, Dr. Steven Taylor, Dr. Chris Shaffer, Dr. Mary Anne Templeton, Dr. , Dr. Dave White, Dr. Don Jeffrey, Dr. Lance Tatum, Mrs. Judy Fulmer

Agenda Items:

1. Call to Order – Purpose of the University Research Council and this annual meeting: The Research Council meeting is held each year to capture and report the various research and scholarly activities of faculty, students and staff members. The Research Council meeting was held electronically. The minutes from the last meeting and the annual report of research for AY 2015-2016 were distributed electronically. The annual report of research activities included financial support for faculty research, summative data related to faculty and student research and grant activities, and ongoing research activities occurring within colleges that are related to the University’s mission. Members were allowed to comment on the items distributed.

2. Minutes of April 24, 2014 – A Research Council meeting was not held in April of 2015. The last minutes were from the April 2014 meeting. These minutes are posted to the University Research Council webpage.

3. Business Items

a. Old Business: None

b. New Business: – Annual Research Activities Report for AY 2015-2016
A summary of the research activities for AY 2015 – 2016 was distributed and will cover the following areas.

1) Grant and Contract Productivity for Faculty and Staff

2) Research Productivity for Faculty

3) Research Opportunities for Students

4. Next Meeting: April 2017

Annual Research Activities Report for AY 2015-2016

Annual update August 2015 - May 2016

I. Grants, Contracts, and Research Productivity

Synopsis:

There are three core research initiatives: *The University will work to increase: 1) grant and contract productivity for faculty and staff; 2) increase research productivity for faculty; 3) work to ensure research opportunities for students.*

The University will work to:

1) Increase grant and contract productivity for faculty and staff.

Sponsored Program productivity is progressing through consistent manager training, faculty workshops and enhanced website information availability. The Office of Sponsored Programs is committed to increase competitive proposal submissions by 10% from base year 2010-2011.

Currently, a continuing issue remains to be fully resolved. Each grant or contract that is awarded may or may not have imbedded research requirements or possible research opportunities. Identifying research opportunities that materialize as a part of the execution of a grant or contract continues to be a challenge in capturing this research and identifying any type of assessment of the research component. To date, grant awards/accounts are coded by accountants for each grant and the process does not account for a combination of activities (e.g. Community support and research). This issue is continuing to be discussed in the University Research Council, but the uniqueness of each grant or contract makes this component difficult. Establishing goals and objects to measure the effectiveness of the research conducted seems somewhat inappropriate as we evaluate the success of the grant or contract. It has been suggested that faculty and staff consider the research potential of their submitted grant or contract and report their research as individual or group research projects as appropriate.

From the summary of dollars pursued and revenue secured for AY 2015-2016, as of March 2016, \$12,542,081 has been funded and an additional \$2,251,373 is pending funding with \$7,730,306 in revenue having been secured during this period. Additional grant/contract awards are yet to be posted for AY 2015-16. A copy of the 18 year comparison of secured revenue and 12 year comparison of dollars pursued is provided below. Indirect cost secured and the reporting of the five year revenue accomplishment is also provided below, indicating consistent success in added income to the University through grant research.

2) Increase research productivity for faculty.

Progress is clearly being made in the ability to capture and report faculty intellectual contributions, creative works, scholarship and research across the University more accurately. The purchase and implementation of Digital Measures has been very helpful in collecting and reporting faculty research productivity. The numbers of projects reported are being distributed across more faculty participants that demonstrates greater involvement in research of more faculty across the University. The ability to report scholarly work in a common and consistent

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way and to use research activities in Tenure and Promotion decisions has contributed greatly to the increased reporting of research activities. Scholarly activity that is reported according to common categories and annual reporting has greatly facilitated both the accuracy of reporting and encouraged faculty members to engage in scholarly and research activity. To date, the vast majority of full-time faculty members have currently reported their intellectual activities in Digital Measures.

Four years of reporting the amount of faculty participation by college and student research activities at the undergraduate and graduate levels are provided below.

Between August 2015 and July 2016, 316 faculty members reported updates of 1,247 scholarly activities. During this period, 208 individual faculty members reported 598 papers, articles, books and reviews; 52 individuals reported 273 creative work activities; and 167 individuals reported giving 376 presentations.

The number of faculty applying for internal research grants has increased from 17 AY 2010-2011 to 132 in AY 2015-2016, exceeding the goal set for 2014-2015 as a part of the five year Strategic Plan. In AY 2015-2016 the number of faculty applying for internal grants was 132 with \$126,852 awarded this year. Troy continues to support faculty research through the Faculty Development committee.

Institutional Review Board activity has been slightly lower during AY 2015-2016. In AY 2014-2015 92 protocols were reviewed compared to 80 in AY 2015-2016. The reports by campus, college and approval type is provided.

3) Ensure research opportunities for students.

The purchase and implementation of Digital Measures has been very helpful in collecting and reporting faculty research productivity. However, a common reporting system is still needed to collect student research and Student Learning Objectives, opportunities and productivity across the University. The reported student research activities exceed 2,426 projects across all levels of study at TROY during AY 2015-16. This annually measured goal has been met and has greatly exceeded expectations.

Annual Research Activities Report for AY 2015-2016

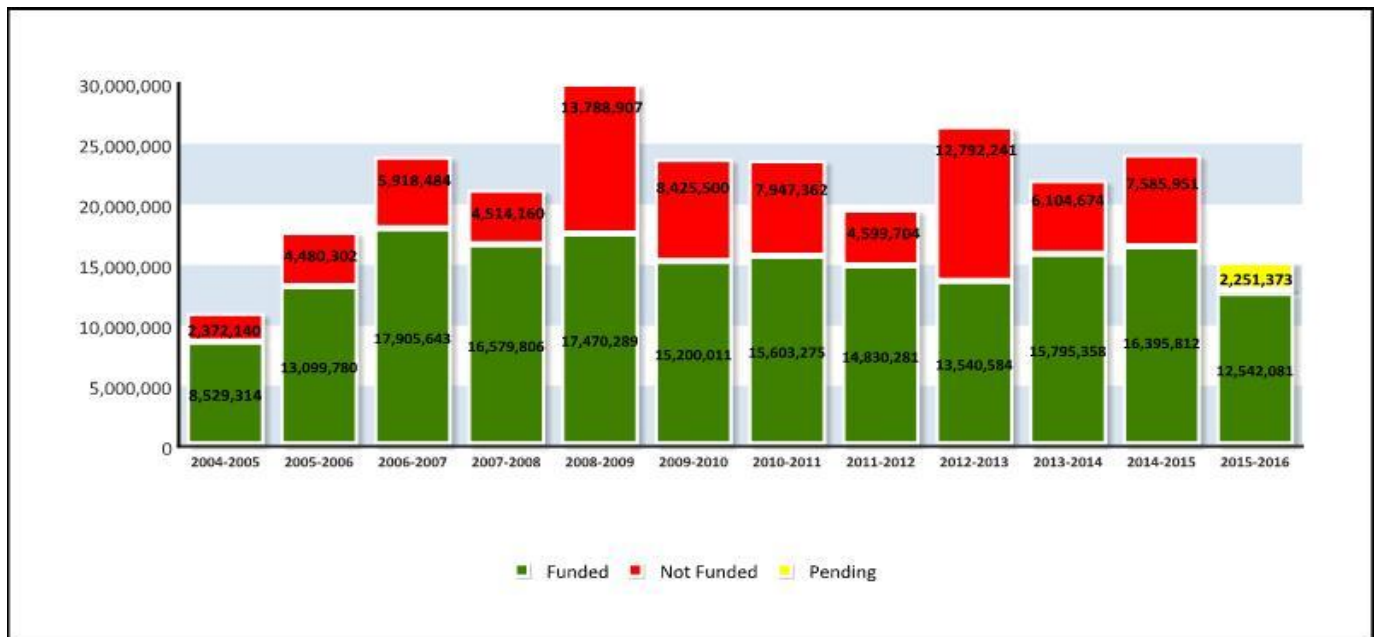
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II. Core Research Initiatives

I. The University will work to increase grant and contract productivity for faculty and staff.

- A. Implement OSP manager training and develop a one-stop website for post management of proposals for successful writers and managers.
- *Manager training is ongoing. Team meetings are assembled for new managers who have secured “projects of significance”. These teams consist of representatives from Purchasing, Human Resources, Accounting, project and supervisory staff. Well trained University team members provide fewer points of resistance in processing faculty grant awards, proposals and interagency support.*
 - *The one stop website is operational and is located here: <http://www.troy.edu/osp/managingsuccess/index.html> . This site is regularly updated. Additionally, budget resources and information are also linked here: <http://www.troy.edu/osp/writinggreatproposals/budget.html>*
- B. Increase Sponsored Programs competitive proposals by offering training and workshops for faculty; working with faculty and deans to identify areas in which writers need training; locating training and workshop opportunities; and facilitating and offering workshops.
- *A summary of competitive activity is referenced below:*

Competitive Dollars Pursued



*Although competitive proposal submissions have increased significantly since 2004-2005, faculty attempts and the positive pursuit of external partnership funding have been unpredictable. Faculty load and faculty incentive provided through tenure and promotion is a significant factor in proposal development. **Clear and***

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consistent guidelines in regard to the positive consideration of grant writing for tenure and promotion are required to incentivize faculty to seek and secure both service and research partner dollars.

- *Faculty Research and Grant Writing training was offered:*

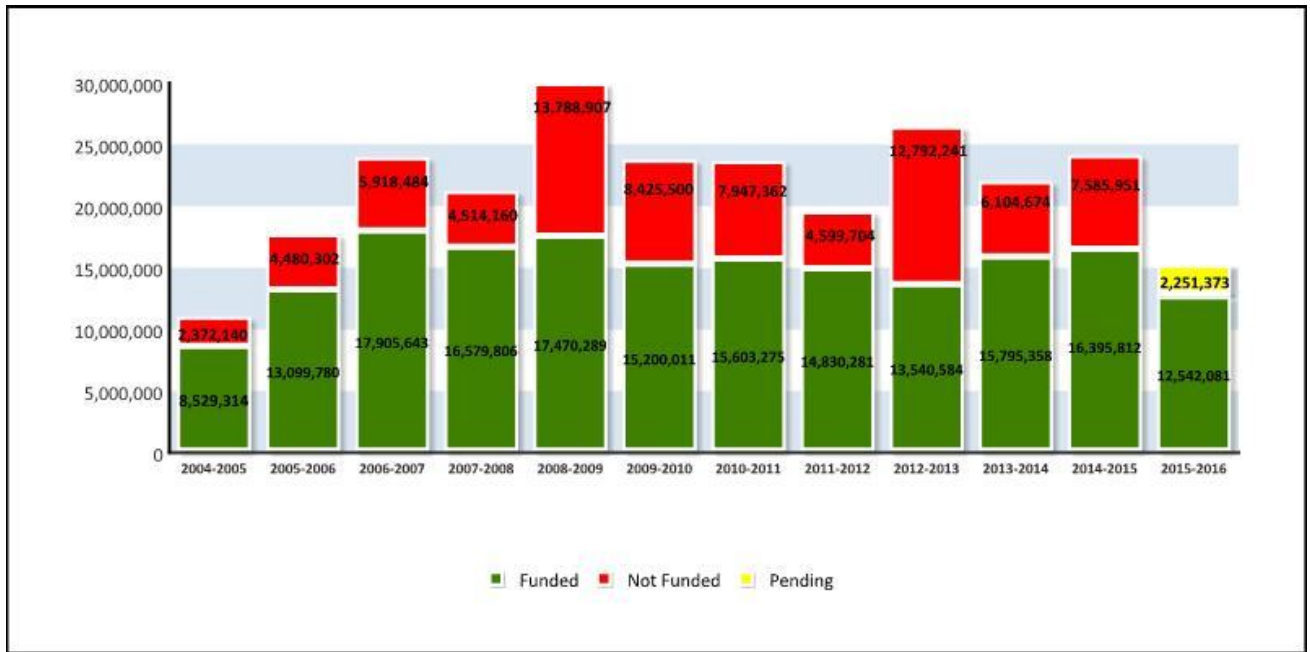
Fiscal Year	# Workshops & Training
2005-2006	4
2006-2007	13
2007-2008	28
2008-2009	30
2009-2010*	83
2010-2011	89
2011-2012	103
2012-2013	74
2013-2014	27
2014-2015	3
2015-2016	6

- C. Increase Sponsored Program staff and resources to accommodate growth of sponsored program activity, including full-time positions in both pre-award and post-award areas.
- D. Progressively increase overall sponsored program activity, revenue and indirect cost: maintain or increase sponsored program activity each fiscal year; maintain or increase revenue spent each fiscal year; and generate or exceed the university's budget for indirect cost. In addition, to increase by 100% sponsored program revenue using 2010 as baseline.
 - *Summaries of sponsored program activity, revenue, indirect cost and accomplishment of the 2015 goal are listed below:*
- E. Increase faculty awareness of research opportunities, increase submission of research proposals and provide resources to enhance the success of submitted research proposals in order to increase competitive proposal submissions.

Annual Research Activities Report for AY 2015-2016

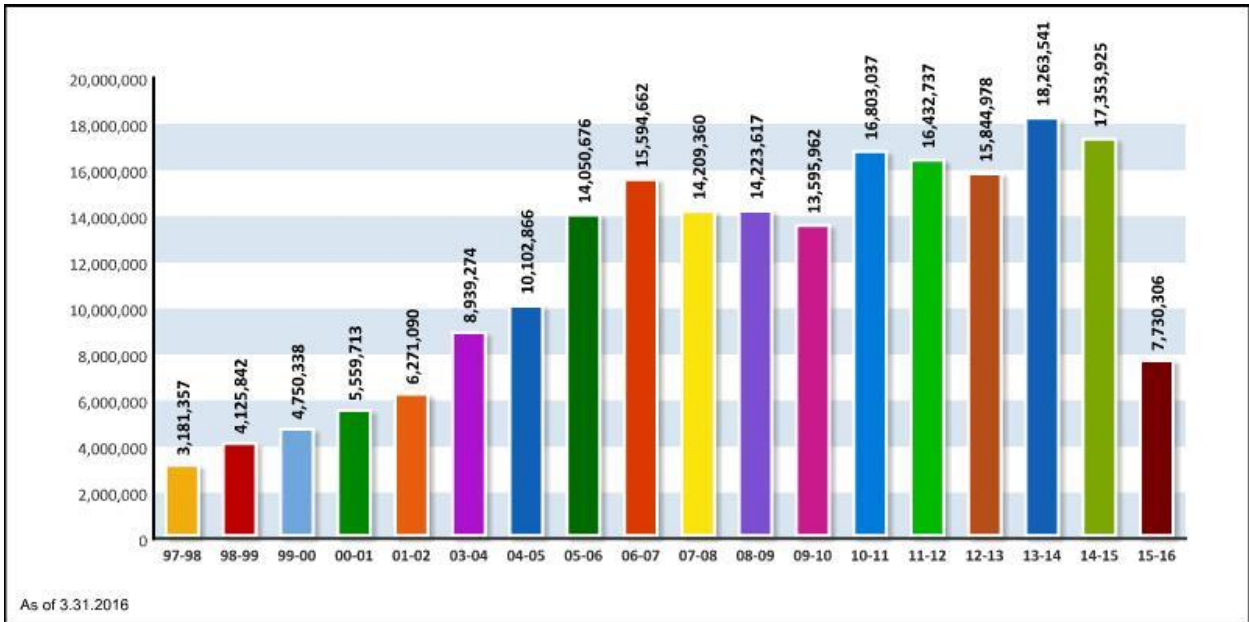
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Dollars Pursued



*Dollars pursued with six months remaining.

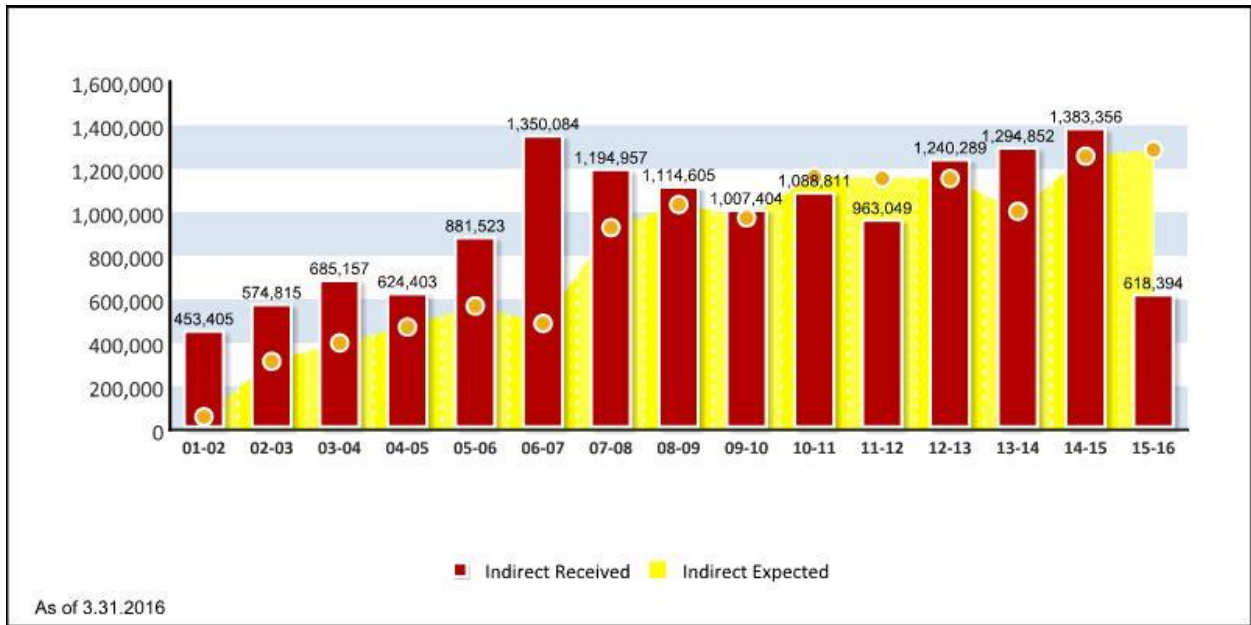
Revenue Secured



Annual Research Activities Report for AY 2015-2016

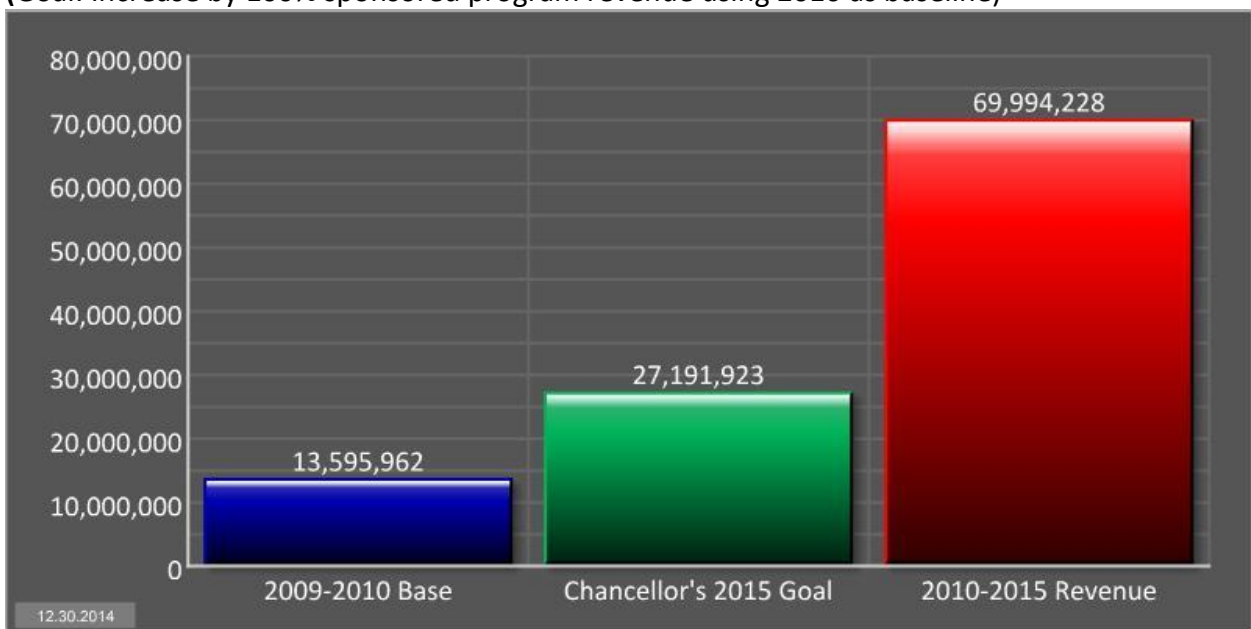
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Indirect Cost Secured



Accomplishment of the 2015 Goal

(Goal: Increase by 100% sponsored program revenue using 2010 as baseline)



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II. The University will work to increase research productivity for faculty as measured in SACS 3.3.1.4.

- **Faculty intellectual contribution and scholarly activity report** – A University goal was set for AY 2014-15 for faculty to product an average of 8.0 projects per faculty member over a three year period. Faculty research activities were assessed according to the number of research projects per faculty member for the last four years: AY 2008-09 – 6.8 projects, AY 2009-10 – 7.3 projects, AY 2010-2011 – 3 projects, AY 2011-2012 – 5.3 projects, AY 2011-2012 – 5.3 projects, and AY 2012-2013 – 5.0 projects.

Faculty self-reported research activity via an online survey during the first two-year period from AY 2008 – 2010. The online research activity survey was distributed to all full-time faculty members. Not all faculty teaching during this two year period elected to participate in the voluntary annual survey. It was found that those who did self-report their research activity were engaged in significant research activities. The ratio of the numbers of research activities to faculty members reporting reflected unusually high participation rates of 6.8 and 7.3 respectively. This trend did not continue once all faculty were required to report current research activities annually.

In AY 2010-2011 a common faculty reporting system for intellectual activities (Digital Measures) was initiated. The first year of standardized reporting showed that 99% of the full-time faculty teaching in AY 2010-2011 created Digital Measures accounts for reporting research, scholarship, creative activities, service and teaching activities. The 569 faculty members participating reported an average of 3.0 projects per faculty member per year.

In AY 2011-2012, 352 faculty members (of 569 total faculty members or 62%) reported updates of 1,883 scholarly activities or an average of 3.3 projects per faculty members engaged in research during this academic year. The details include 242 individual faculty members reported 1,020 papers, articles, books and reviews; 45 individuals reported 154 creative work activities; and 224 individuals reported giving 709 presentations.

In AY 2012-2013, 387 faculty members (of 567 total faculty members, or 68%) reported updates of 1,936 Scholarly activities or an average of 3.4 projects per faculty members engaged in research during this academic year. The details include 276 individual faculty members reported 1,113 paper, articles, books and reviews; 47 individuals reported 242 creative work activities; and 236 individuals reported giving 682 presentations.

Between October 1, 2013 and December 31, 2013, 231 faculty members reported updates of 1,236 scholarly activities. During this period, 217 individual faculty members reported 650 papers, articles, books and reviews; 47 individuals reported 193 creative work activities; and 160 individuals reported giving 393 presentations.

From January 1, 2014 through March 31, 2014, 251 faculty members reported updates of 974 scholarly activities. During this period, 173 individual faculty members reported 532 papers, articles, books and reviews; 41 individuals reported 170 creative work activities; and 121 individuals reported giving 272 presentations.

From April 1, 2014 through June 30, 2014, 258 faculty members reported updates of 1016 scholarly activities. During this period, 180 individual faculty members reported 563 papers, articles, books and reviews; 41 individuals reported 175 creative work activities; and 134 individuals reported giving 327 presentations.

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Between October 1, 2014 and December 31, 2014, 274 faculty members reported updates of 1,005 scholarly activities. During this period, 194 individual faculty members reported 551 papers, articles, books and reviews; 43 individuals reported 199 creative work activities; and 115 individuals reported giving 255 presentations.

Between August 2015 and July 2016, 316 faculty members reported updates of 1,247 scholarly activities. During this period, 208 individual faculty members reported 598 papers, articles, books and reviews; 52 individuals reported 273 creative work activities; and 167 individuals reported giving 376 presentations.

- AY 2008-2009 – 6.8 projects (Self -reported survey data)
- AY 2009-2010 – 7.3 projects (Self -reported survey data)
- AY 2010-2011 – 3 projects –Reported thru Digital Measures
- AY 2011-2012 – 5.3 projects
- AY 2011-2012 – 5.3 projects
- AY 2012-2013 – 5.0 projects
- AY 2013-2014 – 6.2 projects
- AY 2014-2015 – 6.4 projects
- AY 2015-2016 – 6.1 projects

The selected goal of an average of eight intellectual contributions, scholarly activities and projects reported per full-time faculty member over a three year period has been achieved.

The Institutional Review Board comparison reports for AY 2014-2015 and AY 2015-2016 shows a slight downturn in human subject research.

IRB Report

August 2014- July 2015: 92

August 2015- April 2016: 80

	2014-2015	2015-2016
Total Protocols:	92	80
Campus:		
Dothan	9	5
Global	5	3
Montgomery	5	9
Phenix City	7	2
Troy	62	58

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Outside Researchers	4	3
College:		
CAS	8	5
CCFA	2	1
CHHS	37	39
COE	34	26
SCOB	7	6
Outside Research	4	3
Classification:		
Faculty/Student	24	22
Faculty	24	23
Student	40	32
Outside Researchers	4	3
Approval Type:		
Exempt	9	6
Expedited	49	64
Full Review	24	7
Continuations	0	3
Withdrawn	6	3

Progress is clearly being made in the ability to capture and report faculty intellectual contributions, creative works, scholarship and research across the University more accurately. The numbers of projects reported are

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being slowly distributed across more faculty participants. The ability to report scholarly work in a common way and to be considered in Tenure and Promotion reviews has greatly assisted the increase in faculty research activity. Common scholarly activity reporting categories and required annual reporting has greatly facilitated both the accuracy of reporting and served to encourage all faculty members to engage in research activity across the University through heightened awareness supported by increased reporting.

- **Increase the number of faculty seeking internal research grants** – Faculty have met and exceeded the goal to have 30 faculty members submitting requests for internal research grants annually by AY 2014-15. Faculty research activities were assessed according to the number of faculty receiving internal research grants for the last four years. The results are very promising, as more faculty members each year are submitting requests for research grant support. This reflects the increasing number of faculty conducting research across the University.

In AY 2008-09 – 76 faculty grant recipients were awarded \$50,831; AY 2009-2010 – 68 faculty grant recipients were awarded \$79,447; AY 2010-2011 – 92 faculty grant recipients were awarded \$108,807; and AY 2011-2012 – 128 faculty grant recipients were awarded \$107,721. From October 2012 to December 2012, 49 faculty grant recipients were awarded \$42,282. During the period January – March 2013, 75 faculty members were awarded faculty research grants in the amount of \$79,589. The annual Faculty Development Committee Research Grants awarded for AY 2012-13 were 124 awards for a total of \$122, 995. Between October 1, 2013 to December 31, 2013 71 faculty members received grants for paper presentations, page charges, and research for a total of \$57,183. During January 1, 2014 to March 31, 2014 78 faculty members received grants for paper presentation, page charges, and summer research for a total of \$73,179.52. April 1 – June 30, 2014 all faculty grants for paper presentations, page charges, and research had been awarded for the current academic year. In AY 2013 – 2014, 149 faculty received grants in the amount of \$130,362.

- AY 2008-2009 – 76 faculty grant recipients were awarded \$50,831
- AY 2009-2010 – 68 faculty grant recipients were awarded \$79,447
- AY 2010-2011 – 92 faculty grant recipients were awarded \$108,807
- AY 2011-2012 – 128 faculty grant recipients were awarded \$107,721
- AY 2012-2013 - 124 faculty grant recipients were awarded \$122, 995
- AY 2013-2014 – 149 faculty grant recipients were awarded \$130,362
- AY 2014-2015 – 119 faculty grant recipients were awarded \$98,202
- AY 2015-2016 – 128 faculty grant recipients were awarded \$126,852

With continued institutional financial support, faculty members are more likely to conduct research and present at regional and national conferences as evidenced by the increasing number of research grant awards.

III. The University will work to ensure research opportunities for students as measured in SACS 3.3.1.4

- **Ensure research and grant opportunities for students** – A University goal was set for AY 2014-15 to report the number of students seeking external research initiatives. The number of students seeking external research initiatives:
 - AY 2008-09 – 3 projects for \$1,191
 - AY 2009-10 – 4 projects for \$1,491

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- AY 2011-2012 – 5 projects for \$2,036
 - AY 2012-2013 - 7 projects for \$2,936
 - AY 2013-2014 – 21 projects for \$8,523 (56 student engagements contributed)
 - AY 2014-2015 – 11 projects for \$347,052 (26 student engagement contributed)
 - AY 2015-2016 – 10 projects for \$101,044 (18 student engagements contributed as of 4/27/2016)
- A University goal was set for AY 2014-15 to determine the number of student research projects and creative and scholarly student activities occurring during each academic year and work to increase student research activity:
Data:
 - In AY 2010-2011 – 149 faculty members reported 828 student intellectual activities across all five colleges.
 - In AY 2011-2012 – 275 faculty members (48% of total full-time faculty) reported 917 student intellectual activities across all five colleges.
 - In AY 2012-2013 – 252 faculty members (44% of total full-time faculty) reported 962 student intellectual activities across all five colleges.
 - In AY 2013-2014 – 311 faculty members (60% of total full-time faculty) reported 2,218 student intellectual activities across all five colleges.
 - In AY 2014-2015 – 11 projects for \$347,052 (26 student engagements contributed)
 - In AY 2015-2016 - 10 projects for \$101,044 (18 student engagements contributed) as of 4/27/2016

During the period October 1, 2013 to December 31, 2013, no reports were received regarding reported student research activities.

During the period January 1 – March 31, 2014, no reports were requested regarding reported student research activities. However, the institutionally held Student Research and Creative Works Showcase is scheduled to take place the month on April. The top student research projects will be reported in the upcoming quarterly reporting period.

Deans are working with faculty to encourage greater student research within courses and application for external student grant funding to support additional student research projects. Student engagement in the submission of grant requests remains relatively constant.

Additionally in AY 2010-2011, AY 2011-2012 and AY 2012-2013 an online survey was submitted to all full-time faculty members asking that each report all student research, creative activities or scholarly activities that occurred beyond the requirements of their courses. In AY 2010-2011- 149 faculty members reported 828 student intellectual activities across all five colleges.

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2010-2011 Student Research Activities

College	UG Intellectual	GR Intellectual	UG Presentation	GR Presentation	UG Creative	GR Creative	Total
Arts and Sciences	25	10	20	24	6	2	87
Communication & Fine Arts	33	22	128	17	103	19	322
Education	30	23	42	34	8	47	184
Health and Human Services	44	31	35	31	1	5	147
Sorrell College of Business	9	45	3	22	3	6	88
Grand Total	141	131	228	128	121	79	828

In AY 2010-2011 – 149 faculty members reported 828 student intellectual activities across all five colleges.

2011-2012 Student Research Activities

College	UG Intellectual	GR Intellectual	UG Presentation	GR Presentation	UG Creative	GR Creative	Total
Arts and Sciences	59	18	44	22	16	16	175
Communication & Fine Arts	22	8	25	12	264	5	336
Education	10	34	38	174	15	11	282
Health and Human Services	9	27	12	44	1	0	93
Sorrell College of Business	3	2	1	10	8	7	31
Grand Total	103	89	120	262	304	39	917

In AY 2011-2012 – 275 faculty members (48% of total full-time faculty) reported 917 student intellectual activities across all five colleges.

2012-2013 Student Research Activities

College	UG Intellectual	GR Intellectual	UG Presentation	GR Presentation	UG Creative	GR Creative	Total
Arts and Sciences	31	44	47	34	28	15	199
Communication & Fine Arts	11	7	28	8	147	5	206
Education	8	55	118	71	80	34	366
Health and Human Services	16	21	16	28	10	7	98
Sorrell College of Business	7	18	30	32	6	0	93
Grand Total	73	145	239	173	271	61	962

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In AY 2012-2013 – 252 faculty members (44% of total full-time faculty) reported 962 student intellectual activities across all five colleges.

2013-2014 Student Research Activities

College	Count of Faculty
Arts and Sciences	92
Communication & Fine Arts	51
Education	62
Health and Human Services	39
Sorrell College of Business	67
Grand Total	311

College	UG Intellectual	GR Intellectual	UG Presentation	GR Presentation	UG Creative	GR Creative	Total
Arts and Sciences	62	41	72	22	51	26	274
Communication & Fine Arts	479	18	36	12	490	13	1048
Education	124	33	72	126	10	14	379
Health and Human Services	40	67	73	87	34	5	306
Sorrell College of Business	71	51	47	33	5	4	211
Grand Total	776	210	300	280	590	62	2218

In AY 2013-2014 – 311 faculty members (60% of total full-time faculty) reported 2,218 student intellectual activities across all five colleges. (Note Dr. Stewart reported 400 undergraduate Intellectual Contributions and Dr. Everett reported 300 undergraduate Creative Endeavors. These were articles written for the Trop and the Palladium.)

2014 Faculty participants by College

College	Count of Faculty
Arts and Sciences	92
Communication & Fine Arts	51
Education	62
Health and Human Services	39
Sorrell College of Business	67
Grand Total	311

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2014 Student Research Activities

College	UG Intellectual	GR Intellectual	UG Presentation	GR Presentation	UG Creative	GR Creative	Total
Arts and Sciences	62	41	72	22	51	26	274
Communication & Fine Arts	479	18	36	12	490	13	1048
Education	124	33	72	126	10	14	379
Health and Human Services	40	67	73	87	34	5	306
Sorrell College of Business	71	51	47	33	5	4	211
Grand Total	776	210	300	280	590	62	2218

2015 Faculty participants by College

College	Count of Faculty
Arts and Sciences	111
Communication & Fine Arts	54
Education	72
Health and Human Services	52
Sorrell College of Business	65
Grand Total	354

2015 Student Research Activities

College	UG Intellectual	GR Intellectual	UG Presentation	GR Presentation	UG Creative	GR Creative	Total
Arts and Sciences	66	43	80	69	141	15	414
Communication & Fine Arts	26	27	48	48	106	16	271
Education	118	34	41	49	45	6	293
Health and Human Services	94	21	124	56	182	45	522
Sorrell College of Business	904	23	30	33	39	20	1049
Grand Total	1208	148	323	255	513	102	2549

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Excellent progress is being made in the capturing of the information being reported and to see the gradual increase in the number of student research projects and creative and scholarly student activities occurring during each academic year. This strategic object has been met and continues to evidence exciting results of productive student and faculty engagement in inquiry and creative works.

A University goal was set for AY 2014-15 to determine the number of student thesis projects and to work to increase student research activity:

Data:

- AY 2009-2010 - 157 theses (Ed.S.)
- AY 2010-2011 – 10 thesis projects and 10 doctoral residency projects
- AY 2011-2012 - 15 thesis projects and 8 doctoral residency projects
- AY 2012-2013 - 17 thesis projects and 9 doctoral residency projects
- AY 2013-2014 – 12 thesis projects and 16 doctoral residency projects
- AY 2014-2015 – 6 thesis projects and 12 doctoral residency projects
- AY 2015-2016- 5 thesis projects and 14 doctoral residency projects

Students produced 157 theses in AY 2009-2010. During this academic year all Education Specialist students were required to complete a thesis. This requirement was rescinded in AY 2011-2012 and accounts for the significant drop in thesis research activity. Annual thesis activity during AY 2010-2011 and AY 2011-2012 average 10 to 15 thesis projects and 10 to 18 doctoral residency projects. During AY 2012-2013, 17 thesis projects and 9 doctoral residency projects were produced. Between October 1, 2013 and December 31, 2013, 3 theses projects were completed. Between January 1 and March 31, 2014, two thesis projects were completed. 12 doctoral residency projects and 10 thesis projects were completed for AY 2014 - 2015. Five thesis projects and 14 doctoral residency projects were completed in AY 2015-2016.

A common reporting system is still needed to collect student research and Student Learning Objectives, research opportunities and productivity across the University.

The number of thesis projects remains limited in most graduate programs. This remains an area for potential growth.

Submitted by Dr. Dianne Barron April 27, 2016